



CENTRAL BANK OF TRINIDAD & TOBAGO

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November 14, 2019

Circular Letter To:

All Institutions –

Licensed under the Financial Institutions Act, 2008

Registered under the Insurance Act, Chap. 84:01

Registered under the Exchange Control Act, Cap. 79:50

Bankers Association of Trinidad and Tobago

Association of Trinidad and Tobago Insurance Companies

Insurance Brokers Association of Trinidad and Tobago

Institute of Chartered Accountants of Trinidad and Tobago

REF: CB-OIFI-3030/2019

AML/CFT/CPF SELF-ASSESSMENT QUESTIONNAIRE

As part of its risk-based approach to Anti-Money Laundering/Combating the Financing of Terrorism and Proliferation Financing (collectively “AML”) supervision, the Central Bank of Trinidad and Tobago (“Central Bank” / “Bank”) is seeking to introduce an AML Self-Assessment Questionnaire (“Questionnaire”).

The Questionnaire is intended to be completed periodically by all financial institutions under the purview of the Central Bank. The information obtained will be utilized by the Bank to ascertain individual institutions’ ML/TF/PF risks, controls and governance strength, and to determine the Bank’s AML on-site examination cycle.

The draft Questionnaire is being issued for feedback on its usability and the clarity of the questions. You may provide us with feedback on the specific questions asked within the Questionnaire, or alternatively you may provide general comments.

A soft copy of the draft AML Self-Assessment Questionnaire is appended and can also be accessed online at: <https://www.central-bank.org.tt/core-functions/financial-stability/amlcft-draft-and-consultation-papers>.

Comments and queries should be submitted electronically to aml@central-bank.org.tt by **December 13, 2019**.

The Central Bank values your feedback and looks forward to your timely response.

Yours sincerely

Patrick Solomon

INSPECTOR OF FINANCIAL INSTITUTIONS